Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	for form 1449B			Complete if Known		
INFO	RMAII	וט אכ	SCLOSURE	Application Number	09881346	
STATEMENT BY APPLICANT				Filing Date	6-14-2001	
				First Named Inventor	Jens-Uwe Schneider	
(use as many sheets as necessary)				Group Art Unit	7238	
(use as many sneeds as necessary)				Examiner Name	Harish T. Dass	
Sheet	1	of	1	Attorney Docket No.	DE920010052US1	

xaminer itials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
H117)		The fast-growing market for European high-yield bonds, Jens-Uwe Schneider, pgs. 1-4, undated.	
1		Corporate finance and investment decisions and strategies, Richard Pike et al., undated	
1		The Pricing of Initial Public Offers of Corporate Straight Debt, Sudip Datta, et al., pgs. 379-397, undated	
			:

Examiner Signature	Harish T Dan	Date Considered	8/3,106
411			

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is

attached.